

OCT 09 2007

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FACSIMILE TRANSMITTAL SHEET

TO: UNITED STATES PATENT AND TRADEMARK OFFICE
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FACSIMILE NUMBER: 571-273-8300

FROM: John M. Vereb, Reg. No.: 48,912

DIRECT DIAL: 212.692.1048

DATE: October 8, 2007

FILE NUMBER: Application No.: 10/051,905
Confirmation No.: 1722
In re application of: Scott Aguais, et al.
Filed: January 17, 2002
Tech Center/Art Unit: 3692
Examiner: Graham, Clement B.
Docket No.: 103398-00033CIP
Customer No.: 08933
Title: SYSTEM AND METHODS FOR VALUING AND MANAGING
THE RISK OF CREDIT INSTRUMENT PORTFOLIOS

TOTAL # OF PAGES: 21
(INCLUDING COVER SHEET)

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PTO/SB/17 (10-07)

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Effective on 12/08/2004
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4819)**FEE TRANSMITTAL**
For FY 2008☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
1860.00

Complete if Known

Application Number	10/051,905
Filing Date	January 17, 2002
First Named Inventor	Scott Aquino
Examiner Name	Clement B. Graham
Art Unit	3692
Attorney Docket No.	D2398-00033CIP

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	310	155	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	310	155	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP -	x	=				

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP -	x	=	

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(C) and 37 CFR 1.16(c).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 -	/ 50 =	(round up to a whole number) x		

4. OTHER FEE(S)

Non-English Specification. \$130 fee (no small entity discount)

Other (e.g., late filing surcharge (PCE) (\$810); Petition for Extension of Time (\$1050) 1860.00

SUBMITTED BY

Signature	<u>John M. Vercel</u>	Registration No. (Attorney/Agent)	48,912	Telephone (212) 692-1048
Name (Print/Type)	John M. Vercel	Date	October 8, 2007	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including entering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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